



## Speakers



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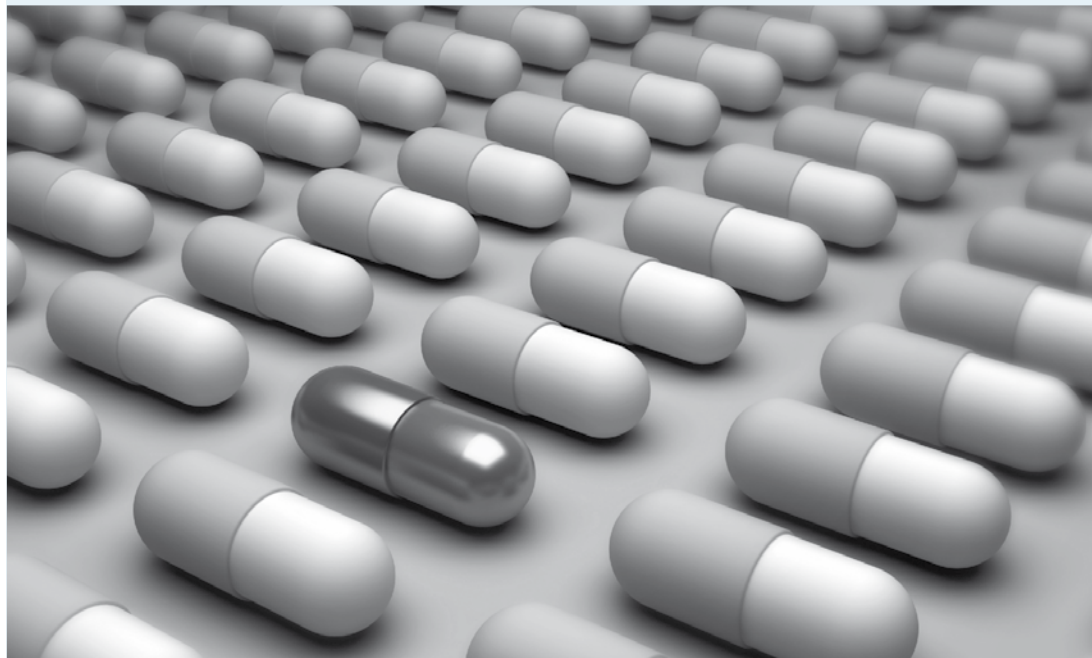


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# Deviation Management and CAPA



Live Online Training on 14/15 June 2022



## Highlights

- Rules and Regulations
- Deviations and CAPA
  - Classification
  - Failure Investigation and Root Cause
  - Risk Management
  - Human Error
- Case Studies:
  - CAPA System Implementation
  - Deviations in Microbiology
  - Implementation of an electronic System
- Evaluating and Monitoring
  - Effectiveness of CAPAs
  - KPIs

With many Examples, Polls and Q&As

## Objectives

During this Live Online Training, you will get to know the principles and discuss all relevant aspects to **implement, improve and/ or work with a Deviation Management and CAPA System**. Furthermore, you will get to know possibilities and tools to **monitor and evaluate your CAPAs**.

## Background

Things will go wrong from time to time. In the world of pharmaceuticals, we need to ensure that we have robust processes and procedures in place to deal with such situations. When an unplanned event arises it must be handled accordingly.

FDA's **Quality System Guide, recent Warning Letters and EU-GMP Chapter 1** clearly emphasise the increasing relevance of a proper deviation management and CAPAs. **ICH Q9** on Quality Risk Management and **ICH Q10** on Pharmaceutical Quality Systems empower us to handle issues that arise in our daily work on the basis of risk analysis.

In any case a **sound failure investigation** is the key to identify appropriate CAPAs. Here it is also important to know how to deal with human error based and non-human error based non-conformances.

Independent from that, it needs to be pointed out that **CAPA is an excellent Quality Management tool** to continuously improve processes and avoid future failures. All personnel involved in the management of deviations and CAPAs should aim to identify opportunities for further improvement.

## Target Audience

This course is designed for all personnel involved in Deviation Management and CAPA activities at their company. It is addressed to persons from Quality Assurance and Control, Manufacturing and R&D.

## Programme

### International Requirements – Rules and Regulations

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- European requirements
- The expectations of the FDA
- GMP and documentation issues
- Harmonisation in sight?



Excerpt from FDA Warning Letter

*“...the investigation failed to establish a root cause and your quality unit failed to ensure the implementation of adequate corrective actions to prevent future recurrence.”*

### Deviation Handling

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- How to document deviations
- Information and Data Management
- Critical/ major/ minor
- CAPA or not?

### CAPA: Principles, System, Implementation and Process Improvements and the use of Risk Management Techniques

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- Tools
- Quality Risk Management
- Human Error Overview
- Monitoring & Evaluation Overview

### Process Analysis and Failure Investigation

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Scenarios with a focus on using the tools from the presentation before:

- Human Error based
- Non-human Error based

### Deviations in the Light of Inspections

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- Focus in inspection
- Trends, Product Quality Review and Product Review
- Self-inspection as an important tool



Case Study:  
How to implement a CAPA System

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- How to integrate existing QM Systems (OOS, Complaint Handling, Deviations)
- Examples and lessons learned



## Case Study: How to deal with microbiological Deviations

- Contamination control and company culture
- What QA needs to understand
- Interface with QA and production
- OOS vs. deviation in the microbiological laboratory
- Possible CAPAs



## Case Study: Implementation of a Software Tool for CAPA Management

- Understanding your workflows and processes
- Can you improve the current process using electronic workflows?
- Efficient validation of a CAPA application

## CAPA Effectiveness & System Performance Check

- CAPA Effectiveness
  - Why assessing effectiveness
  - The meaning of effectiveness
  - Determine effectiveness
- System Performance
  - Performance Monitoring
  - Examples of Performance Indicators



**Marcus Heinbuch**  
B.Braun Melsungen AG, Germany

Marcus Heinbuch is Head of QM Operations in the Quality Management of CoE Pharmaceuticals.



**Dr Ulrich Herber**  
Charles River Microbial Solutions  
International Ltd., Ireland

Dr Ulrich Herber is Director of Technology and Market Development - Microbial Solutions.



**Michael Hopper**  
GxPpro, U.K.

Michael (Mick) Hopper set-up GxPpro after leaving Pfizer. Mick has over 30 years experience and held several Technical, Management and QA roles.



**Lea Joos**  
GMP Inspectorate, Local Government  
Munich, Germany

Lea Joos is a Pharmacist working for the local Inspectorate as GMP and GDP Inspector.



**Manuel Suhrada**  
Boehringer Ingelheim, Austria

Manuel Suhrada is Head of Site Quality Systems at the Boehringer Ingelheim site in Vienna. Before that he was Head of Deviation & CAPA Management, GMP-Officer and corp. Process Owner at Octapharma Pharmazeutika.



## Question & Answer Sessions

A set of live Q&A Sessions will give you the possibility to interact with the speakers and get answers to your questions.

## Your benefits:

**Internationally Acknowledged Certificate from ECA Academy**



The EU GMP Guide requires:  
„... All personnel should be aware of the principles of Good Manufacturing Practice that affect them and receive initial and continuing training,...“. This is why you receive an acknowledged participant certificate, which lists the contents of the seminar in detail and with which you document your training.

**This Training Course is recognized for the GMP/GDP Certification Scheme "Quality Assurance Manager"**



Building on your education the ECA GMP/GDP certification programmes provide you with the appropriate supplement to acquire this qualification. This training course is the first element for your additional certification. Simply choose any three courses within the programme according to your professional interest. Your certificate is then valid for two years. To renew it, you can pick any training from the ECA courses and conferences list within that two-years period – allowing you to broaden your knowledge in GMP and GDP compliance. Please find more information at [www.gmp-certification.org](http://www.gmp-certification.org)

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Reservation Form (Please complete in full)



## Deviation Management and CAPA | Live Online Training on 14/15 June 2022

Title, first name, surname

Department

Company

Important: Please indicate your company's VAT ID Number

Purchase Order Number, if applicable

CONCEPT HEIDELBERG  
P.O. Box 101764  
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City

ZIP Code

Country

Phone / Fax

E-Mail (Please fill in)

### General terms and conditions

If you cannot attend the conference you have two options:

1. We are happy to welcome a substitute colleague at any time.
2. If you have to cancel entirely we must charge the following processing fees:
  - Cancellation until 2 weeks prior to the conference 10 %
  - Cancellation until 1 weeks prior to the conference 50 %
  - Cancellation within 1 week prior to the conference 100 %

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cellation or non-appearance. If you cannot take part, you have to inform us in writing. The cancellation fee will then be calculated according to the point of time at which we receive your message.

In case you do not appear at the event without having informed us, you will have to pay the full registration fee, even if you have not made the payment yet. Only after we have received your payment, you are entitled to participate in the conference (receipt of payment will not be confirmed). (As of January 2012).

German law shall apply. Court of jurisdiction is Heidelberg.

Privacy Policy: By registering for this event, I accept the processing of my Personal Data. Concept Heidelberg will use my data for the processing of this order, for which I hereby declare to agree that my personal data is stored and processed. Concept Heidelberg will only send me information in relation with this order or similar ones. My personal data will not be disclosed to third parties (see also the privacy policy at <https://www.gmp-compliance.org/privacy-policy>). I note that I can ask for the modification, correction or deletion of my data at any time via the contact form on this website.



## Date of the Live Online Training

Tuesday, 14 June 2022, 09.00 – 16.30 h

Wednesday, 15 June, 09.00 – 16.30 h

All times mentioned are CEST.

## Technical Requirements

For our Live Online Training Courses and Webinars, we use Cisco WebEx, one of the leading suppliers of online meetings.

At <https://www.webex.com/test-meeting.html> you can check if your system meets the necessary requirements for the participation at a WebEx meeting and at the same time install the necessary plug-in. Please just enter your name and e-mail address for the test. If the installation is not possible because of your rights for the computer system, please contact your IT department. WebEx is a standard nowadays and the necessary installation is fast and easy.

## Fees (per delegate, plus VAT)

ECA Members € 1,490

APIC Members € 1,590

Non-ECA Members € 1,690

EU GMP Inspectorates € 845

The conference fee is payable in advance after receipt of invoice.

## Presentations/Certificate

The presentations will be made available to you prior to the Live Online Training as PDF files. After the event, you will automatically receive your certificate of participation.

## Registration

Via the attached reservation form, by e-mail or by fax message. Or you register online at [www.gmp-compliance.org](http://www.gmp-compliance.org).

## Conference language

The official conference language will be English.

## Ordering Recordings

Independent from the Live Online Training Courses, you can also order recordings of selected Live Online Training Courses at the same conditions – at [www.gmp-compliance.org/recordings](http://www.gmp-compliance.org/recordings). These recordings will be provided on our media server. All you need to watch it is an Internet browser – no additional software.

## Organisation and Contact

ECA has entrusted Concept Heidelberg with the organisation of this event.

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